Groups, Fields, and Vector Spaces

Homework #3 (2024-2025), Answers

## Q1: Duals of infinite-dimensional spaces

A. Consider the (infinite-dimensional) vector space V of real-valued functions f(x) on the interval [0,1] that are "nice" – continuous, smooth, integrable. For any  $g \in V$ , there is a mapping Ag from V to the base

field, defined by  $(Ag)(f) = \int_{0}^{1} g(x)f(x)dx$ . Show that  $Ag \in V^*$ , i.e., that it is a linear map from V to the base field.

We need to show that Ag is linear, i.e., that  $(Ag)(\alpha_1f_1 + \alpha_2f_2) = \alpha_1(Ag(f_1)) + \alpha_2(Ag(f_2))$ .

This follows from basic properties of integrals:

$$(Ag)(\alpha_{1}f_{1} + \alpha_{2}f_{2}) = \int_{0}^{1} g(x)(\alpha_{1}f_{1}(x) + \alpha_{2}f_{2}(x))dx$$
$$= \alpha_{1}\int_{0}^{1} g(x)f_{1}(x)dx + \alpha_{2}\int_{0}^{1} g(x)f_{2}(x)dx = \alpha_{1}((Ag)(f_{1})) + \alpha_{2}((Ag)(f_{2}))$$

*B.* For any  $y \in [0,1]$ , there is another map from V to the base field, defined by (By)(f) = f(y). Show that  $By \in V^*$ , *i.e., that it is a linear map from* V to the base field.

To show (By)(f+g) = (By)(f) + (By)(g): Left hand side is (f+g)(y), which, by definition of addition in V, is f(y)+g(y). Right-hand side is also f(y)+g(y), applying By to f and g separately.

*C.* For any 
$$y \in [0,1]$$
, is there  $a \ g \in V$  for which  $By = Ag$ ?

No. We'd need a g for which (Ag)(f) = (By)(f), i.e.,  $\int_{0}^{1} g(x)f(x)dx = f(y)$ . So g would have to be zero

for all  $x \neq y$ . Since V (by definition) only contains smooth functions, then g would have to be zero everywhere,. While we can imagine a generalized function, which has these properties i.e.,  $\delta(x-y)$ , it does not have the smoothness conditions required to be in V.

## Q2: Direct path to the trace as an intrinsic property of vector-space homomorphisms (AKA matrices)

This homework is closely modeled after a Quora posting of Senia Sheydvasser. Assistant Professor Department of Mathematics, Bates College

Consider a vector space V and its dual space  $V^*$ . Elements in  $V^* \otimes V$  are sums of elementary tensor products  $\Phi = \phi \otimes v$ , for  $x \in V$  and  $\phi \in V^*$ . Thus, elements in  $V^* \otimes V$  can also be considered to be in Hom(V,V) (i.e., there is a natural homomorphism L from  $V^* \otimes V$  to Hom(V,V)). The correspondence L between  $V^* \otimes V$  to Hom(V,V) takes an elementary tensor product  $\Phi = \phi \otimes v$  to  $L(\Phi) \in Hom(V,V)$  given by  $L(\Phi)(w) = \phi(w)v$ . (Note  $\phi(w)$  is a scalar). L is then extended to sums of elementary tensor products by linearity.

*A.* For  $\Phi_1 = \phi_1 \otimes v_1$  and  $\Phi_2 = \phi_2 \otimes v_2$ , determine the action of  $L(\Phi_1) \circ L(\Phi_2)$  on an arbitrary  $w \in V$ , where  $\circ$  is composition in Hom(V,V). Express this as the image under L of an elementary tensor product  $\Phi_{12} \in V^* \otimes V$ . Use this to define a composition rule in  $V^* \otimes V$ ,  $\Phi_{12} = \Phi_1 \circ \Phi_2$ , for which  $L(\Phi_{12}) = L(\Phi_1) \circ L(\Phi_2)$ 

We determine, for an arbitrary  $w \in V$ , the action of  $L(\Phi_1) \circ L(\Phi_2)$ :  $(L(\Phi_1) \circ L(\Phi_2))(w) = L(\Phi_1)(L(\Phi_2)(w))$ , since  $\circ$  is composition.  $L(\Phi_1)(L(\Phi_2)(w)) = L(\Phi_1)(\phi_2(w)(v_2))$ , definition of L.  $L(\Phi_1)(\phi_2(w)(v_2)) = \phi_2(w)L(\Phi_1)(v_2)$ , since  $L(\Phi_1)$  is linear and  $\phi_2(w)$  is a scalar.  $\phi_2(w)L(\Phi_1)(v_2) = \phi_2(w)\phi_1(v_2)v_1$ , definition of L.

So  $(L(\Phi_1) \circ L(\Phi_2))(w) = \phi_2(w)\phi_1(v_2)v_1$ . The right-hand side is also  $L((\phi_1(v_2)\phi_2) \otimes v_1)(w)$ . Since this holds for all w,  $\Phi_{12} = (\phi_1(v_2)\phi_2) \otimes v_1 = \phi_1(v_2)(\phi_2 \otimes v_1)$ , i.e, another elementary tensor product.

Composition in  $V^* \otimes V$  is now defined by  $(\phi_1 \otimes v_1) \circ (\phi_2 \otimes v_2) = \phi_1(v_2)(\phi_2 \otimes v_1)$ .

B. Determine the  $\Phi_{21} \in V^* \otimes V$  for which  $L(\Phi_{21}) = L(\Phi_2) \circ L(\Phi_1)$ . As in A,  $\Phi_{21} = \phi_2(v_1) (\phi_1 \otimes v_2)$ 

*C.* There is also a natural mapping *T* from  $V^* \otimes V$  to the base field of scalars, defined by  $T(\Phi) = \phi(v)$  for elementary tensor products and extended to all of  $V^* \otimes V$  by linearity. Determine  $T(\Phi_{12})$  and  $T(\Phi_{21})$ . What happens?

 $T(\Phi_{12}) = T(\phi_1(v_2)(\phi_2 \otimes v_1)) = \phi_1(v_2)T(\phi_2 \otimes v_1) = \phi_1(v_2)\phi_2(v_1).$ Similarly  $T(\Phi_{21}) = \phi_2(v_1)\phi_1(v_2).$ So,  $T(\Phi_{12}) = T(\Phi_{12})$ , i.e.,  $T(\Phi_1 \circ \Phi_2) = T(\Phi_2 \circ \Phi_1).$ 

D. Now interpret T in coordinates. Specifically, take the "one-hot" basis for V,  $v_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \dots, v_n = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}, \dots$ 

and a typical vector in  $x \in V$ ,  $x = \sum_{k=1}^{n} x_k v_k$ . Take the one-hot basis for  $V^*$ , where  $\phi_k$  maps  $\phi_k(v_k) = 1$  but

 $\phi_j(v_k) = 0$  for  $j \neq k$ , and a typical  $\varphi \in V^*$ ,  $\varphi = \sum_{k=1}^n \varphi_k \phi_k$ . Written more compactly,  $\phi_j(v_k) = \delta_{j,k}$ . Then the  $M_{j,k} = \phi_j \otimes v_k$  are a basis for  $V^* \otimes V$ , and an arbitrary  $M \in V^* \otimes V$  can be written as  $M = \sum_{j,k=1}^n m_{j,k} M_{j,k}$ , where the  $m_{j,k}$  are the matrix entries for M. Determine  $T(M_{j,k})$  and then T(M).

 $T(M_{j,k}) = T(\phi_j \otimes v_k) = \delta_{j,k}$ , by the definition of T in part C. By linearity of T,

$$T(M) = \sum_{j,k=1}^{n} m_{j,k} M_{j,k} = \sum_{j,k=1}^{n} m_{j,k} T(M_{j,k}) = \sum_{j,k=1}^{n} m_{j,k} \delta_{j,k} = \sum_{j=1}^{n} m_{j,j}.$$

So we've defined the trace in a coordinate-free way, and demonstrated its key property: that the trace of a pairwise composition is independent of the order of composition.

Adam Merberg, Ph.D. Mathematics, University of California, Berkeley · 8y Originally Answered: Why is tr(AB) = tr(BA) true? The definition of trace as the sum of the diagonal entries of a matrix is easy to learn and easy to understand. However, it doesn't (a priori) have any nice geometric or other interpretation---it just looks a computation tool. Attacking it from this perspective basically means that you are stuck with computational proofs of facts like tr(AB) = tr(BA). They aren't bad, per se. They are easy to understand, and certainly what should be shown when someone is initially learning linear algebra. There is a deeper reason for why tr(AB) = tr(BA), but it is pretty abstract and in particular requires the tensor product in order to understand. Consider the space of linear operators from a vectors space  $\,V\,$  back to itself. If we choose a particular set of coordinates, such operators will look like square matrices. However, we shall aim to avoid coordinates as much as possible. We denote by  $V^{st}$  the dual space of V, which the space of linear functionals on V---that is, linear maps  $\lambda$  such that if we plug in a vector  $v, \, \lambda(v)$  is a scalar. If we then take the tensor product  $V^* \otimes V$ , it is isomorphic to the space of linear operators  $V \to V$ . The isomorphism works like this: if  $w \in V$ , then  $(\lambda \otimes v)w = \lambda(w)v$ . We can also figure out how composition works out under this isomorphism---recall that composition of linear maps is just the same thing as multiplying the corresponding matrices.  $(\lambda_2 \otimes v_2) \left( (\lambda_1 \otimes v_1) w \right)$  $=(\lambda_2\otimes v_2)\,(\lambda_1(w)v_1)$  $=\lambda_2\left(\lambda_1(w)v_1
ight)v_2$  $=\lambda_2(v_1)\lambda_1(w)v_2$ hence  $(\lambda_2\otimes v_2)\circ (\lambda_1\otimes v_1)=\lambda_2(v_1)(\lambda_1\otimes v_2)$ Now, how does the trace come in? Well, there is a natural map from

 $V^*\otimes V$  to the field of scalars which works like this:  $\lambda\otimes v=\lambda(v)$ . The amazing thing is that, if you work everything out in coordinates, this is the trace.

This shows that the trace, far from being some abstract computational tool, is actually a fundamental and natural map in linear algebra. In particular, the above analysis automatically gives a proof that  $tr\left(ABA^{-1}\right)=tr(B)$ .

But why is the stronger statement tr(AB) = tr(BA) true? Well, let's compute both of them.

 $egin{aligned} tr\left((\lambda_2\otimes v_2)\circ(\lambda_1\otimes v_1)
ight)\ &=tr\left(\lambda_2(v_1)(\lambda_1,v_2)
ight)\ &=\lambda_2(v_1)\lambda_1(v_2) \end{aligned}$ 

On the other hand:

 $\begin{aligned} tr\left((\lambda_1 \otimes v_1) \circ (\lambda_2 \otimes v_2)\right) \\ &= tr\left(\lambda_1(v_2)(\lambda_2, v_1)\right) \\ &= \lambda_1(v_2)\lambda_2(v_1) \end{aligned}$